

## A Game-theoretic Approach for Efficient Clustering in Wireless Sensor Networks

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### Abstract

*In wireless sensor networks, clustering divides network into clusters and makes cluster heads (CHs) responsible for data aggregation. CHs play a significant role in such topology and focus should be fixed on the CH selection. Due to the constraints on available resources, however, a sensor node is likely to be selfish and refuse to serve as a CH. Based on game theory, this paper models the problem and discusses the condition of Nash Equilibrium. Moreover, in case of disconnection between a CH and the sink, data replication is adopted. We set candidate CHs that replicate data in the original CHs respectively under the scenario of a second price sealed auction. Simulation results show that nodes have tendency to cooperate due to the reduction in delay and loss rate. Moreover the throughput of the sink can still be guaranteed if any CH fails to work.*

**Keywords:** *Wireless sensor networks; clustering; game theory; data replication; second price sealed auction*

### 1. Introduction

Wireless sensor networks (WSNs) [1] consist of hundreds or thousands of sensor nodes that work cooperatively to monitor the environmental conditions, such as temperature, sound, pollutants, etc. They pass data through the network to a base station and announce to the users.

In general, we usually assumed that all nodes are cooperative and are willing to provide network services such as relaying data for others. However, this assumption is not strictly true in WSNs. Unlike traditional networks, sensor nodes in WSNs are limited in power, computational capacities, and memory. For some rational sensor nodes, they fix attention on saving their own resources and refuse to provide any service without their own interest for others. Such nodes are described as selfish nodes. Simulation based studies [2] show that even a small percentage (10-40%) of selfish nodes can bring the network throughput considerably down (16-32% degradation).

Clustering is widely adopted in WSNs, which divides the network into clusters and set cluster heads (CHs) responsible for data fusion. It has the advantages of low energy consumption, simple routing scheme and good scalability. For the nodes that serve as CHs, their energy and resource consumption are normally faster than its member nodes due to the long-distance communication with the sink. Therefore a selfish node would refuse to declare itself as a CH.

Game theory [3] is a branch of mathematics that models situations where players (participants in a game) participate in a strategic situation. The selfishness of sensor nodes can be modeled by game theory. More specifically, the mechanism designs a game such that selfish behavior of the nodes induces a predictable strategy profile, and the output function for this predicted strategy corresponds to a Nash Equilibrium outcome. Namely, no node has an incentive to unilaterally deviate from this dominant strategy.

In addition, the communication link between a CH and the sink is of vital importance. Any disconnection of these links at certain point could result in a lost of all sensed data in its cluster region. A possible solution is to adopt data replication [4]. The idea is to keep copies of data in more nodes, so that if any failure occurs to the node that owns the original data, its information is not lost and can be retrieved through its copies. Here, we simply replicate the data in a CH to another node. So even network division occurs and separate the connection from CH to the sink, we can still have another node to send the cluster's data.

In this paper, we use a game-theoretic analysis to find conditions that would make cooperation a preferred choice for the nodes in WSNs that had been elected as CHs. And we address the potential problem of connectivity between a CH and the sink in the context of data replication.

The rest of the paper is organized as follows. Related work is discussed in section 2. In Section 3 we address CH election on the basis of game theory and adopt data replication in case of possible disconnection between an original CH and the sink. Performance evaluation is given in Section 4 and Section 5 concludes this paper.

## 2. Related Work

Clustering in wireless sensor networks is a hot topic. Various well known clustering routing protocol have been proposed, such as LEACH [5] and PEGASIS [6]. Energy consumption and communication latency are reduced, however, many assumptions do exist in such approaches. For example, nodes should have much information about other nodes, which is not practical in reality. Besides, most clustering protocols do not consider the selfishness of nodes.

Selfishness in wireless networks is a popular study. Incentive mechanisms have been proposed. In Tit-For-Tat (TFT) [7], the player cooperates on the first stage and does what its opponent did in the previous stage. However, a perceived defection may be unjustly punished due to packet collisions. GTFT [8] improves TFT by providing a tolerance threshold. Limited number of defections will not be punished. Ref. [9] studies the impact of packet collisions on the emergence of cooperation and proposed two schemes called OT and GT for milder conditions. They are theoretically effective, but practically unstable.

Various game-theoretic models have been adopted. Ref. [10] ensures that nodes reveal private information truthfully. However, it only focuses on the nodes' energy reporting strategy and fails to analysis other cooperating behaviors of the nodes. Ref. [11] provides a utility function to stimulate cooperate and study Nash Equilibrium theoretically. However, simulation remains to be implemented. GTEBR [12] solves the problem of uneven energy consumption as a sort of static game with so-called confidence probability. It depends on central control, which makes it not suitable for distributed autonomous environment. The balance of payment and cost is also hard to keep. Ref. [13] proposes a repeated-game model based on local detection and punishment mechanism of isolation, which takes account of the selfish nodes' future payoff expectations and their long-term desires for payoff. However, the proposed model is not suitable for WSNs as sensors nodes have constraint on energy. Ref. [14] designs a payoff function on path reliability and energy consumption. Using the punishment

mechanism, the repeated game model can propel a Nash Equilibrium and decrease the defection possibility of selfish nodes. But it fails to consider effect of the distance between nodes on energy consumption. Ref. [15] defines a Trust Degree and Most Trustworthy Path (MTP) in consideration of the basic trust elements in WSNs such as security, energy constraint and routing reliability. An incentive and punishment scheme is proposed to resolve selfish nodes. Ref. [16] aims at solving the problem of retaliation situations after a node is falsely perceived as selfish to help restore cooperation quickly. This scheme is collusion-resistant and can achieve full cooperation among nodes. However, it is based on the assumption that nodes share perceived dropping probability with each other truthfully. Ref. [17] combines a modified version of existed routing protocol with coalitional game theory in order to find the cheapest route in a group with respect to power consumption. How to choose corresponding leaders is not mentioned though. It just focuses on logic rewriting of the algorithm. Ref. [18] determines the route with least energy consumption and maximum cooperation among nodes via a game-theoretic approach. According to the payoff matrix, nodes are encouraged to participate with its best possible action.

Data replication is very effective for preventing deterioration of data accessibility due to network division in wireless networks. Ref. [19] proposes data replication schemes in ad hoc networks. These schemes are based on the intuition that to improve data accessibility, replicating the same data near neighboring nodes should be avoided. In SAF, the access frequency for certain data is the major concern to decide which node should get the replica. DAFN pays extra attention to its connectivity with the neighbors, and DCG sets nodes into groups for later discussion. A later study [20] extends the above methods by considering a more real environment with periodic date update. In Ref. [21], each node belongs to certain cluster in which the probability of path availability can be bounded. Nodes exchange information with stable neighbors. Ref. [22] proposes some new schemes for data replication: Greedy-S considers both the size and access frequency of data; OTOO adopts a metric of combined access frequency which is related to the node and a neighbor of its own; RG sets groups for nodes that can share replica. Unlike the study of Hara, link failure probability and query delay are taken into consideration. Ref. [23] selects nodes as data replicas holders taking into account link bandwidth and remaining amount of batteries. Various parameters demand specific future study though.

### **3. Our Proposed Game-theoretic Approach**

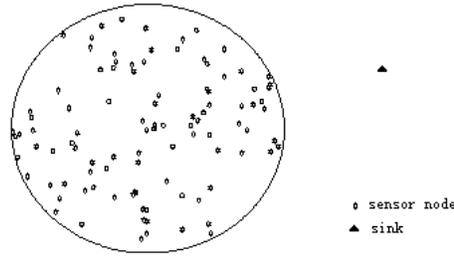
#### **3.1. Relevant models**

##### **3.1.1. Network model**

We assume that the network is composed of  $N$  sensor nodes. They are uniformly dispersed within a circle field and continuously monitor their surrounding environment, as is shown in Figure 1. We also assume that one sink locates far away from the sensing region and receives data delivered by all the cluster heads. Moreover, the sink is mobile and changes its position in circle that ensures its average distance from every node is the same in a relative long-term run. Such setting reduces the energy hole problem to some extent. We make the following assumptions:

- (1) All nodes are homogeneous and stationary after deployment.
- (2) The multiple sink nodes are pre-located within the sensing field randomly.
- (3) Nodes can adjust their transmission power according to the relative distance to receiver

(4) Links are symmetric.



**Figure 1. Network model**

**3.1.2. Energy model:** We use similar energy model in [17]. Based on the distance between transmitter and receiver, a free space ( $d^2$  power loss) or multi-path fading ( $d^4$  power loss) channel models are used.

Each sensor node will consume the following  $E_{Tx}$  amount of energy to transmit a  $l$ -bits packet over distance  $d$ , where the  $E_{elec}$  is the energy dissipated per bit to run the transmitter or receiver circuit,  $\varepsilon_{fs}$  and  $\varepsilon_{mp}$  represent the transmitter amplifier's efficiency and channel conditions:

$$E_{Tx}(l, d) = \begin{cases} lE_{elec} + l\varepsilon_{fs}d^2, & d < d_o \\ lE_{elec} + l\varepsilon_{mp}d^4, & d \geq d_o \end{cases} \quad (1)$$

To receive a packet, radio consumes energy:

$$E_{Rx}(l) = lE_{elec} \quad (2)$$

Cluster heads aggregate  $n$   $l$ -bits data packets received from its members into a single  $l$ -bits fixed packet. The energy consumption is calculated as follows, where  $E_{DA}$  is the data aggregation cost of a bit per signal:

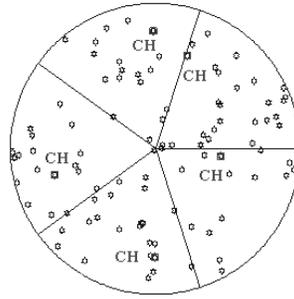
$$E_{aggr}(n, l) = nlE_{DA} \quad (3)$$

### 3.2. Selection of cluster heads

In our study, the entire network is divided into  $K$  equal clusters, as is shown in Figure 2 where  $K=5$ . Each cluster has one cluster head for data aggregation. Instead of direct communication with the sink, each member node in one cluster sends data to its CH. Each CH receives the delivered data, makes aggregation and finally sends data to the sink far away. Such clustering method reduces the traffic load. Moreover, CHs locate in a more uniform way than the probabilistic deployed situation in LEACH. It prolongs the network lifetime and reduces the energy hole problem.

The selection of CHs is important. If a CH runs out of energy, all collected data in its cluster get lost and can no longer reach the sink. Therefore, we have the residual energy of a node stand out as a metric. Any node with the maximum residual energy in a

cluster is chosen as a CH. With CH roles change periodically, the network can survive for long time.



**Figure 2. An example of CH selection**

### 3.3. Game-theoretic model for CH selection

Since each cluster head consumes much energy and takes responsibility of sending data for cluster members, in the fact selfish nodes may refuse to declare itself as the CH. They may tell lies about the value of its residual energy to avoid being selected. Hence the CH selection would fail to work. To solve the problem, we regard the CH declaration as a game and adopt a game-theoretic model to promote cooperation of selfish nodes.

We formally define the game as  $G = \langle N, S, U \rangle$ , where  $N$  ( $|N| = n$ ) is the set of players, representing sensor nodes in the network;  $S = \{S_i\}$  is the set of available strategies;  $U = \{U_i\}$  is the set of utility functions.

Players can either declare itself as a CH or stay selfish by refusing to be the CH. Letting  $D$  be the strategy “declare myself as CH” and  $RD$  be the strategy “refuse to declare as CH”, the strategy space is  $S = \{Declare, Refuse to Declare\} = \{D, RD\}$ .

We define  $c_D$  and  $c_{RD}$  respectively represent the cost of the node when it declares itself as CH and the refusing situation, shown in Equation (4) and (5) respectively. Here,  $n_{CH_i}$  stands for the number of nodes in cluster  $CH_i$ .

$$c_D = n_{CH_i} E_{Rx} + E_{aggr} + E_{Tx(CH_i, sink)} \quad (4)$$

$$c_{RD} = E_{Tx(s_i, CH_i)} \quad (5)$$

Role of cluster heads changes periodically. In the long term,  $c_D$  and  $c_{RD}$  can be regarded as constants for simplicity. Moreover, as the sink locates far away from the sensing region, the cost for delivering data to the sink is much larger than that to its CH according to the energy model, namely  $c_D > c_{RD}$ . In case nodes are reluctant to declare as CH, a payoff  $v$  is provided. From the perspective of an arbitrary node, as the equation (6) shows, if one node  $i$  declares, the utility is  $v - c_D$ ; if node  $i$  refuses to be CH and luckily one other nodes in its cluster takes the responsibility by declaring itself as CH, the utility of  $i$  becomes  $v - c_{RD}$ ; however, the worst condition is that neither the node itself nor any other node declares as CH, therefore the player will be unable to send data towards the sink which leads to zero payoff in result.

$$U_i(S) = \begin{cases} v - c_D & \text{if } S_i = D \\ v - c_{RD} & \text{if } S_i = RD \text{ and } \exists j \in N, \text{ s.t. } S_j = D \\ 0 & \text{if } S_j = RD, \forall j \in N \end{cases} \quad (6)$$

According to the assumption, all nodes are homogeneous. So it is impossible for each node to find a best response to the strategy choices of its opponents. Namely no pure-strategy Nash Equilibrium exists in our game. However, if we assume that each player is allowed to choose its strategy randomly following a probability distribution, a mixed-strategy Nash Equilibrium can be found.

For each node, the possibility of declaring itself as CH (i.e. playing  $D$ ) is set as  $p$ , and the probability of refusing to declare (i.e. playing  $RD$ ) is  $1 - p$ . The expected utility function of playing  $D$  is obtained as  $U_D = v - c_D$ . The expected utility function of playing  $RD$  is obtained as  $U_{RD} = (v - c_{RD}) \cdot (1 - (1 - p)^{N-1})$ , which implies at least one other node plays  $D$ .

At the equilibrium, we have  $U_D = U_{RD}$ . By solving the expression, we have

$$p = 1 - \left( \frac{c_D - c_{RD}}{v - c_{RD}} \right)^{\frac{1}{N-1}} \quad (7)$$

Once the probability  $p$  is properly set, a mixed-strategy Nash Equilibrium exists. In this case, with  $p$  predetermined for all nodes, each node has a natural incentive to cooperate and make declaration as a CH.

For an arbitrary node, calculate its average utility of a node  $\bar{U}$ , we have

$$\begin{aligned} \bar{U} &= U_D \cdot p + U_{RD} \cdot (1 - p) \\ &= (v - c_D) \cdot p + (v - c_{RD})(1 - (1 - p)^{N-1}) \cdot (1 - p) \\ &= (v - c_{RD}) - (c_D - c_{RD})p - (v - c_{RD})(1 - p)^N \end{aligned} \quad (8)$$

At the mix-strategy Nash Equilibrium, by substituting  $P$  from equation (7), we have

$$\bar{U}_{NE} = v - c_D \quad (8)$$

Letting the derivative of  $\bar{U}$  equal to zero, we can compute a  $p^*$  that makes the maximum average utility  $\bar{U}_{\max}$ . Respectively, we have

$$p^* = 1 - \left( \frac{c_D - c_{RD}}{v - c_{RD}} \cdot \frac{1}{N} \right)^{\frac{1}{N-1}} \quad (10)$$

$$\begin{aligned} \bar{U}_{\max} &= (v - c_{RD}) - (c_D - c_{RD})p^* - (v - c_{RD})(1 - p^*)^N \\ &= v - c_D + (c_D - c_{RD}) \left( 1 - \frac{1}{N} \right) \left( \frac{c_D - c_{RD}}{v - c_{RD}} \cdot \frac{1}{N} \right)^{\frac{1}{N-1}} \end{aligned} \quad (11)$$

Compare  $\overline{U}_{\max}$  and  $\overline{U}_{NE}$ , we have

$$\begin{aligned}\overline{U}_{\max} - \overline{U}_{NE} &= v - c_D + (c_D - c_{RD}) \left(1 - \frac{1}{N}\right) \left(\frac{c_D - c_{RD}}{v - c_{RD}} \cdot \frac{1}{N}\right)^{\frac{1}{N-1}} - (v - c_D) \\ &= (c_D - c_{RD}) \left(1 - \frac{1}{N}\right) \left(\frac{c_D - c_{RD}}{v - c_{RD}} \cdot \frac{1}{N}\right)^{\frac{1}{N-1}}\end{aligned}\quad (12)$$

According to Equation (12), when the number of nodes  $N$  increases and tends to infinity, namely  $N \rightarrow \infty$ , we have  $\lim(\overline{U}_{\max} - \overline{U}_{NE}) = 0$ . That means the utility at Nash Equilibrium is almost equal to the maximum possible value.

### 3.4. Data replication and selection of candidate CHs

Cluster heads receive all collected data from its cluster members and send the aggregated data to the sink. Cluster heads serve as bridges that connect the sink and certain sensed area. They play a significant role. Therefore, once part of the network becomes unstable and by chance it causes a disconnection of the link between certain CH and the sink, all data in the cluster would be lost. To solve this problem, we take measures via data replication.

During an update period, we set another sensor node despite the current CH as a candidate CH. This candidate node replicates CH's data. Thus when the sink fails to communicate with the CH at one point, it can still get a replica of data from another node. Robustness of the network is improved due to such data replication. To encourage all member nodes to complete for the role of a candidate CH, an extra payoff is provided if it has direct communication to the sink.

In one cluster, we adopt a game-theoretic method to select a candidate CH. All member nodes despite the CH are players. They have the desire of turning into a candidate CH in order to win the possible payoff. We assume that every player has its own valuation, and they bid against each other to win the game. In a wireless sensor network, we assume that all data collected by sensor nodes is periodically updated. Accordingly, their corresponding CHs update data.

For example, in a cluster  $k$ , we have  $p_k^i$  represent the probability that a member node  $i$  performs an access request for the data in CH within a unit of time;  $T_k$  stands for the update period of its CH;  $t_k$  denotes the time that has passed for all member nodes to complete its data delivery;  $\tau_k$ , the difference between  $T_k$  and  $t_k$ , represents the time that it provides for member nodes to access data in CH. For a member node  $i$ , we have the access frequency  $r_k^i$ :

$$r_k^i = \frac{p_k^i \cdot \tau_k}{T_k} = \frac{p_k^i \cdot (T_k - t_k)}{T_k}\quad (13)$$

Let  $R_k^i$  represent the cost for  $i$  to replicate data in its CH. It is related to both its data access frequency  $r_k^i$  and a transmission cost to its CH that is denoted as  $c_k^i$ . We have

$$R_k^i = r_k^i \cdot c_k^i \quad (14)$$

The focal point of data replication is to minimize total cost in the network. It not only includes its cost for data replication. In fact, once a member node  $i$  is selected as the candidate CH, it now has the possibility of communicating with the sink. Such communication cost  $c_{\text{sink}}^i$  should also be taken into consideration. Moreover, due to extra burden of communication cost, there is chance that it consumes all its energy, becomes invalid, and in result causes the energy hole problem. To alleviate the problem, its residual energy  $E_{\text{residual}}^i$  becomes an essential factor. We aim to find the proper candidate with not only less cost for data replication, but also larger residual energy and less communication cost with the sink. Players offer bids. The one with the highest value is elected as the winner and get selected as the candidate CH. For node  $i$ , we have its bid  $B_k^i$  defined as follows:

$$B_k^i = \frac{E_{\text{residual}}^i}{R_k^i \cdot c_{\text{sink}}^i} = \frac{E_{\text{residual}}^i}{r_k^i \cdot c_k^i \cdot c_{\text{sink}}^i} = \frac{T_k}{(T_k - t_k)} \cdot \frac{E_{\text{residual}}^i}{p_k^i \cdot c_k^i \cdot c_{\text{sink}}^i} \quad (15)$$

According to all member nodes in the same cluster, they share the same value of  $T_k$  and  $t_k$ , and namely the same  $\frac{T_k}{(T_k - t_k)}$ . Let  $\alpha = \frac{T_k}{(T_k - t_k)}$  and regard  $\alpha$  as a constant. We simplify the bid.

$$B_k^i = \alpha \cdot \frac{E_{\text{residual}}^i}{p_k^i \cdot c_k^i \cdot c_{\text{sink}}^i} \quad (16)$$

For any player in the game of data replication, its bid remains the private information and can not be known by any other players. As every player has its own valuation and whether or not one wants to be the winner depends only on the price he will have to pay, namely the bid in normal auction. Therefore, instead of submitting its real valuation, nodes may have a tendency to perform speculation by offering a higher value. Thus they may win the game with actually less payment.

Such situation is suitable for the adoption of the second price sealed auction, which is also known as “the Vickrey auction”. It was proposed by William Vickrey in 1961[19]. Such auction can suppress the potential speculation of any player and in the case of asymmetric information the outcome of the game can reach Pareto Optimality [3]. It stands for an ideal state of the resource allocation where no other outcome can make at least one player strictly better off on the premise that other players maintain well off. That is, a Pareto Optimal outcome cannot be improved upon without hurting at least one player.

In a second price sealed auction, every player submits a bid without the knowledge of others’ information. It is suitable for the data replication procedure as an incomplete information game. The one with the highest bid wins and only needs to pay a price equal to

the second highest bid. And here the payoff provided according to the incentive provided for a CH.

Regardless of its bid, the price a player would have to pay is determined only by the bids of the others. The higher his bid is, the greater the possibility of winning becomes. But if his bid is higher than its own true valuation, once the highest bid of others (i.e. the current second highest bid) is also larger than its valuation, what he has to pay is more than the valuation. It is unworthy. Therefore, bidding one's true valuation is the best choice. Any unilateral departure from such action can not bring any more payoffs which meets the case of Nash equilibrium. As rational nodes, all players should tell the truth by bidding his true valuation. The adoption of the second price sealed auction can efficiently achieve load balancing, rational allocation of resources, and the optimal control of network traffic.

#### 4. Performance Evaluation

Simulate a wireless sensor network using NS2. We have sensor nodes uniformly distributed in a  $500 \times 500$  square region and the sink located far away, as it is shown in Figure 3.

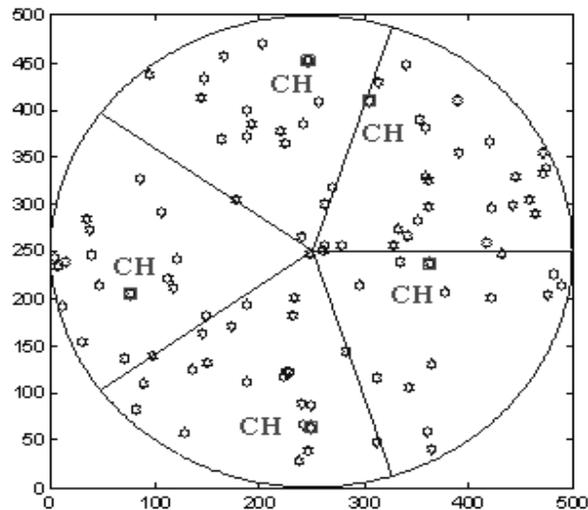
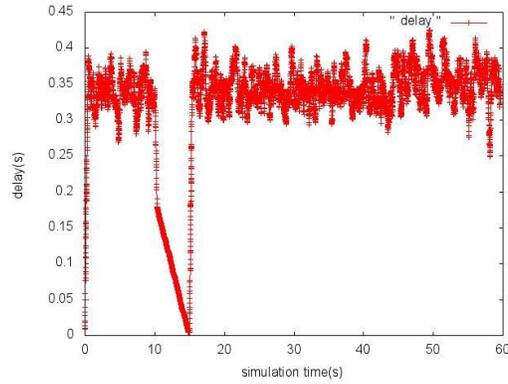
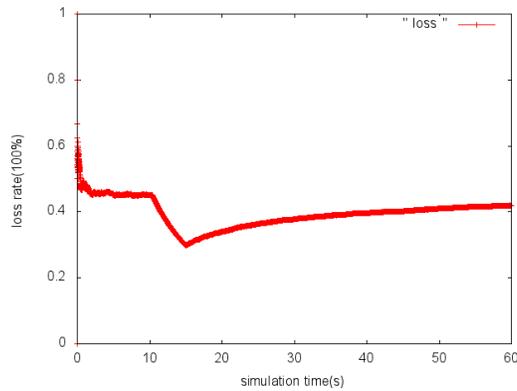


Figure 3. Network for simulation

Here, we have a simulation time of 60 seconds. And for simplicity, we can study one of the clusters. The proposed payoff for a CH is represented as a later priority in data delivery. For example, when a member node A and another node B both send data to the sink via their current cluster head at sometime, if A used to be the CH, we have A send its data first and let B wait for a while till it gets turns to transmit its data.

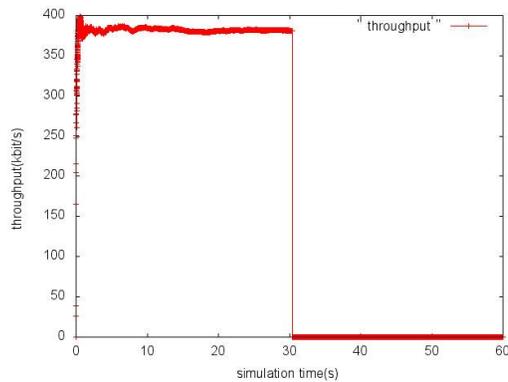


**Figure 4. Data delay for a certain node**

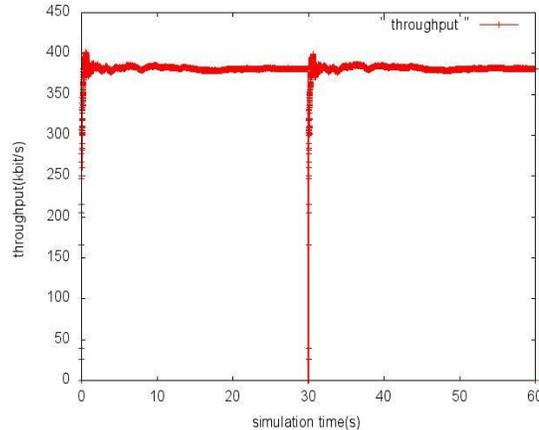


**Figure 5. Data loss rate for a certain node**

Figure 4 and Figure 5 shows the changes in the aspect of delay and loss rate for a node that is once chosen as the cluster head respectively. We assume that it is selfish and refuses to be CH from the beginning but changes to cooperate during the 10<sup>th</sup> to 15<sup>th</sup> second. From the figures, It is obvious that our game-theoretic mechanism can result in a reduction the transmission delay for data and provide a relative lower data loss rate. Therefore, it becomes a rational choice for a node to deviate from selfishness.



**Figure 6. Throughput of a sink related to a cluster's data (no data replication used)**



**Figure 7. Throughput of a sink related to a cluster's data (data replication used)**

Moreover, we study the throughput for the sink that receives the collected data from the chosen cluster. If the connection between its CH and the sink breaks up, as it is shown in Figure 6 that a link disconnection occurs at 30<sup>th</sup> second, the throughput of the sink drops to zero. However, with data replication, the sink is able to get the cluster's data from the replica in the candidate CH. Figure 7 shows that the throughput of the sink for the cluster's data is barely changed.

## 5. Conclusions

Clustering is an efficient method in wireless sensor networks. Essential operations in clustering include the selection of CHs, which have much responsibility for data delivery. However, due to their limited resources, nodes have selfishness which may affect the efficiency of clustering. In this paper, game theory is adopted to encourage nodes to serve as a CH. Moreover, candidate CHs are selected that replicates the data in CH under the circumstance of a second price sealed auction. Such data replication reduces the risk of disconnection between a CH and the sink. Simulation results prove that our game-theoretic clustering approach provides good performance.

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